

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
TCM215

In Re Application Of: **ZHANG ET AL**

Application No.
10/796,930

Filing Date
03/10/2004

Examiner

Customer No.
32047

Group Art Unit
2872

Confirmation No.
3259

Invention:

METHODS AND APPARATUS FOR POLARIZATION CONTROL

COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

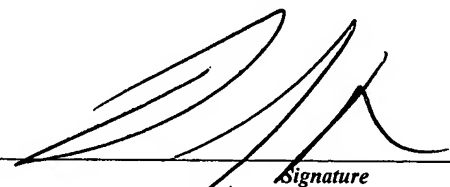
Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Donald J. Perreault
Attorney for Applicant
Reg. No. 40,126
Grossman, Tucker, Perreault & Pfleger, PLLC
55 South Commercial Street
Manchester, NH 03101

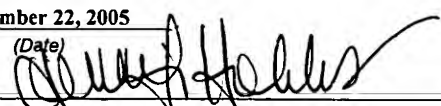
Dated: **September 22, 2005**

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I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

September 22, 2005

(Date)


Signature of Person Mailing Correspondence

Jennifer L. Hobbs

Typed or Printed Name of Person Mailing Correspondence

cc:

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) TCM215		Application Number 10/796,930	
				Applicant(s) Zhang et al			
				Filing Date 03/10/2004		Group Art Unit 2872	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US2003/0081874	05/01/2003	Yao	385	11	12/10/2002

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		0310174	04/05/1989	EPO	H04B	9		

OTHER DOCUMENTS			<i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
		Takanori Okoshi; "Polarization-State Control Schemes for Heterodyne or Homodyne Optical Fiber Communications"; December 1985; Journal of Lightwave Technology, Vol. LT-3, No. 6; pp. 1232-1237.	
		European Search Report mailed June 22, 2005 in connection with corresponding European Appln. No. 05251430.4	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.